



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Kuansan Wang

Appln. No.: 09/960,229

Filed : September 20, 2001

For : SERVERS FOR WEB ENABLED SPEECH  
RECOGNITION

Docket No.: M61.12-0391

Group Art Unit:2162

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
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PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

**TIME OF FILING**

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. ☐ 1. Within three months of the filing date of a national application other than a Continued Prosecution Application (CPA);
2. ☐ 2. Within three months of the date of entry of the National Stage international application;
3. ☐ 3. Before the mailing date of a first Office Action on the merits, or
4. ☐ 4. Before the mailing of a first Office Action after the filing of a Request for Continue Examination (RCE).

2. X after the time period specified<sup>11</sup> in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A.      a statement as specified in 37 C.F.R. § 1.97(e).  
B. X the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

**METHOD OF PAYMENT**

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X Attached is Credit Card Payment Form in the amount of \$180.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

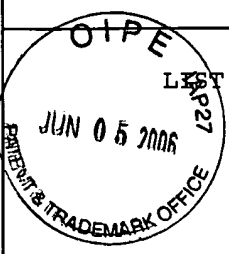
Respectfully submitted,

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SMK:dkm

FORM PTO-1449		Atty. Docket No.: M61.12-0391	Appl. No.: 09/960,229
 <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>		First Named Inventor:	
		Huyen Vo	
		Filing Date September 20, 2001	Group Art: 2655

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,303,327	4/1994	Sturner et al.	704	270	
AB	5,559,897	9/1996	Brown et al.	382	186	
AC	5,566,272	10/1996	Brems et al.	704	231	
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AF	5,774,628	6/1998	Hemphill, Charles T.	704	255	
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AK	6,192,338	2/2001	Haszto et al.	704	257	
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER:

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<p align="center">LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>		<b>First Named Inventor:</b>	
		Huyen Vo	
		<b>Filing Date</b>	<b>Group Art:</b>
		September 20, 2001	2655

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AU	6,463,413	10/2002	Applebaum et al.	704	256.2	
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BI	SCRIPT-Client-side Script, Web Design Group, May 13 <sup>th</sup> , 1998
BJ	XML and XSL from servers to cell-phones, Ramin Firoozye and Ranbir Chawla, September 2 <sup>nd</sup> , 1998
BK	Hemphill C.T. and Thrift P.R.: "Surfing the WEB by Voice" Proceedings ACM Multimedia, November 1995 (1995-11), pages 215-222.
BL	Telephones, "http://www.fusionanomaly.net/telephones.html", 10/30/2000

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BO	2002/0173961	11/2002	Guerra, Lisa M.	704	258	
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BR	EP 1209662 A2	5/2002	European Patent			
BS	EP0872827	10/1998				

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BT	Mit et al., "Grammar Representation Requirements for Voice Markup Languages", W3C Working Draft, Dec. 23, 1999.
BU	SpeechObjects Specification V1.0, W3C Note, November 2000
BV	VoiceXML Forum, Version 1.00, dated March 7, 2000, copyright 2000
BW	Using the HTML Converter, Sun Microsystems, June 8, 2001
BX	Lucas, Bruce, "VoiceXML for Web-based Distributed Conversational Applications", Communications of the ACM, Vol.43, Issue 9, September 2000, pp. 53-57
BY	JavaServer Pages™ Technology-Frequently Asked Questions, Sun Microsystems, August 27, 1999

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